

Docket No. 268018US0PCT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Hans-Ulrich PETEREIT, et al.

SERIAL NO: 10/529,636

GAU:

FILED: March 31, 2005

EXAMINER:

FOR: METHODS FOR COATING SUBSTRATES FOR PHARMACEUTICAL USES WITH A MIXTURE OF TWO FILM-FORMING COATING AGENTS

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☐ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☒ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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LIST OF RELATED CASES

<u>Docket Number</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Patent Appl. Publication No.</u>	<u>Inventor/ Applicant</u>
272301US0PCT	10/539,614	06/17/05		PETERIET, et al.
268104US0PCT	10/539,808	06/20/05		PETERIET, et al.
268018US0PCT*	10/529,636	03/31/05		PETERIET, et al.
238858US0DIV	6,846,891	01/25/05		PETERIET, et al.
200253US0PCT	6,624,210	09/23/03		PETERIET, et al.
226779US0PCT	10/239,634	09/24/02	US2003/0064036 A1	PETERIET, et al
249725US0PCT	10/489,856	03/23/04	US2004/0249035 A1	PETERIET, et al

*Present Application; listed for information
NFO/kch

Form PTO 1449
(Modified)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.

268018US0PCT

SERIAL NO.

10/529,636

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Hans-Ulrich PETEREIT, et al.

FILING DATE

March 31, 2005

GROUP

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	6,846,891	01/25/05	PETEREIT, et al.			
	AB	6,624,210	09/23/03	PETEREIT, et al.			
	AC	US2003/0064036 A1	04/03/03	PETEREIT, et al.			
	AD	US2004/0249035 A1	12/09/04	PETEREIT, et al.			
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AO					
	AP					
	AQ					
	AR					
	AS					
	AT					
	AU					
	AV					

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	
	AX	
	AY	
	AZ	

☐ Additional References sheet(s) attached

Examiner

Date Considered

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.